

#4

## FORMALITIES LETTER

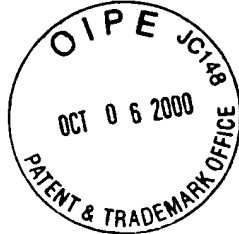


\*OC000000005283808\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/576,621	05/23/2000	Ryuji Ishiguro	SONY-T0608

Charles P sammut Esq  
Limbach & Limbach LLP  
2001 Ferry Building  
San Francisco, CA 94111-4262



Date Mailed: 07/31/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

---

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/11/2000 SDENBOB1 00000011 09576621

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130.00 OP



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

RYUJI ISHIGURO ET AL.

Appln. No. 09/576,621

Filed: May 23, 2000

For: INFORMATION PROCESSING  
APPARATUS, INFORMATION  
PROCESSING METHOD, AND  
PROGRAM STORAGE MEDIUM

) Group Art Unit: 2766

) Examiner: Not Assigned

) **RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION AND SUBMISSION  
OF COMBINED POWER OF ATTORNEY AND  
DECLARATION**

) 2001 Ferry Building  
) San Francisco, CA 94111  
) 415/433-4150

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed July 31, 2000 (copy enclosed), applicant submits the enclosed Combined Declaration for Patent Application and Power of Attorney.

A check in the amount of \$130.00 is enclosed herewith to cover the surcharge for filing missing parts of an application.

Also enclosed is a Request for Correction of the Official Filing Receipt.

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 12-1420. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

October 2, 2000  
(Date)

SONY-T0608  
(S00P0608US00)

By:

Charles P. Sammut  
Registration No. 28,901  
Attorneys for Applicant(s)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on October 2, 2000.

LIMBACH & LIMBACH L.L.P. Date: October 2, 2000

By:

Name: Susan Ozanne



Sector

#3

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	)	Group Art Unit: 2766
RYUJI ISHIGURO ET AL.	)	Examiner: Unknown
Appln. No.: 09/576,621	)	REQUEST FOR CORRECTION
Filed: May 23, 2000	)	OF THE OFFICIAL FILING
	)	<u>RECEIPT</u>
For: INFORMATION PROCESSING	)	2001 Ferry Building
APPARATUS, INFORMATION	)	San Francisco, CA 94111
PROCESSING METHOD, AND	)	415/433-4150
PROGRAM STORAGE MEDIUM	)	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 10/2/00

LIMBACH & LIMBACH L.L.P.

Date: 10/2/00

By: Susan Ozanne  
Name: **SUSAN OZANNE**

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Attached is a copy of the Official Filing Receipt which was received in our offices on August 22, 2000 in connection with the above-identified application.

The Official Filing Receipt is in error. Please amend the receipt as shown in red ink on the attached copy as follows:

Please correct the second inventor's name to read: **TAKUMI OKAUE**

In the title, please correct the word "methdo" to read --METHOD--.

PATENT

Please forward the amended Official Filing  
Receipt to:

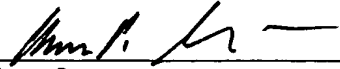
Charles P. Sammut  
Limbach & Limbach L.L.P.  
2001 Ferry Building  
San Francisco, CA 94111

In the event it is determined that a fee  
pursuant to 37 C.F.R. § 1.19(h) is required in  
connection with this request, the Commissioner is  
hereby authorized to charge payment of any fees  
associated with this communication or credit any  
overpayment to Deposit Account No. 12-1420. A  
duplicate copy of this sheet is enclosed.

Respectfully submitted,  
  
LIMBACH & LIMBACH L.L.P.

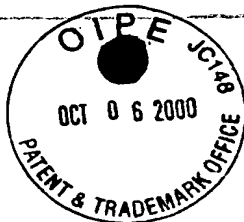
Date: Oct. 2, 2000

By: \_\_\_\_\_

  
Charles P. Sammut  
Reg. No. 28,901

Attorneys for Applicant(s)

Attorney Docket No. SONY-T0608 (S00P0608US00)



## FILING RECEIPT



\*OC00000005283807\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/576,621	05/23/2000	2766	690	<u>SONY-T0608</u>	10	5	3

Charles P. Sammut Esq. *mmm*  
Limbach & Limbach LLP  
2001 Ferry Building  
San Francisco, CA 94111-4262

RECEIVED

AUG 22 2000

LIMBACH &amp; LIMBACH LLP

Date Mailed: 07/31/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

**TAKUMI**Ryuji Ishiguro, Tokyo, JAPAN;  
~~Takumi~~ Okaue, Kanagawa, JAPAN;  
Tateo Ooishi, Saitama, JAPAN;

## Continuing Data as Claimed by Applicant

## Foreign Applications

JAPAN P11-152060 05/31/1999  
JAPAN P2000-141189 05/15/2000

If Required, Foreign Filing License Granted 07/31/2000

PRIOR ART STATEMENT DUE:       /      FOREIGN FILING LETTER DUE:       /      FOREIGN FILING DEADLINE:       /      18 MONTH SUSPENSE DATE: 11/22/01*on cal*

## Title

Information processing apparatus, information processing ~~method~~, and program storage medium**METHOD**

## Preliminary Class

380

Data entry by : SCOTT, JOSEPH

Team : OIPE

Date: 07/31/2000



0300  
#7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

RYUJI ISHIGURO ET AL.

Appln. No. 09/576,621

Filed: May 23, 2000

For: INFORMATION PROCESSING  
APPARATUS, INFORMATION  
PROCESSING METHOD, AND  
PROGRAM STORAGE MEDIUM



Group Art Unit: 2766

Examiner: Not Assigned

**SUBMISSION OF FORMAL DRAWINGS**

2001 Ferry Building  
San Francisco, CA 94111  
415/433-4150

Attention: Official Draftsman  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing in the above-identified application are ten (10) sheets of formal drawings comprising Figures 1 through 10.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

October 2, 2000  
(Date)

SONY-T0608  
(S00P0608US00)

By: 

Charles P. Sammut  
Registration No. 28,901  
Attorneys for Applicant(s)

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LIMBACH & LIMBACH L.L.P. Date: October 2, 2000

By:   
Name: Susan Ozanne